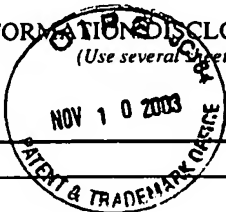


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| INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i> | | APPLICANT Stamper et al. | |
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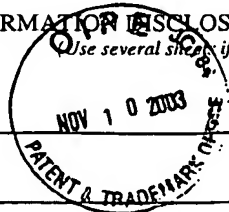
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| <i>tw</i> | AA | 2,706,982 | 04/26/1955 | Hale et al. | | | |
| | AB | 3,072,118 | 01/08/1963 | Standerwick | | | |
| | AC | 3,099,441 | 07/30/1963 | Ries | | | |
| | AD | 3,604,412 | 09/14/1971 | Gardner | | | |
| | AE | 4,444,179 | 04/24/1984 | Trippi | | | |
| | AF | 4,541,421 | 09/17/1985 | Iversen et al. | | | |
| | AG | 4,620,530 | 11/04/1986 | Lanier et al. | | | |
| | AH | 4,667,660 | 05/26/1987 | Eingorn | | | |
| | AI | 4,735,196 | 04/05/1988 | Krag et al. | | | |
| | AJ | 5,042,462 | 08/27/1991 | Bremer | | | |
| <i>tw</i> | AK | 5,062,415 | 11/05/1991 | Weatherby et al. | | | |

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| | AQ | | | | | | |

| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|---|----|--|
| <i>tw</i> | AR | Bernardoni CO, Gene, "The Halo Part I," http://www.ballert.com |
| <i>tw</i> | AS | Bernardoni CO, Gene, "Part II Application of the Halo to the Patient," http://www.ballert.com |
| <i>tw</i> | AT | Bernardoni CO, Gene, "Part III Problems Which May Arise With Halo Fixation," http://www.ballert.com |

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| EXAMINER <i>Mandala Wicker</i> | DATE CONSIDERED <i>12/16/04</i> |
| <small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small> | |

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| INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | APPLICANT Stamper et al. | |
| | | FILING DATE October 20, 2003 | GROUP Unknown |



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| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPLICABLE |
| AW | BA | 5,109,835 | 05/05/1992 | McDonald | | | |
| | BB | 5,156,588 | 10/20/1992 | Marcune et al. | | | |
| | BC | 5,171,296 | 12/15/1992 | Herman | | | |
| | BD | 5,203,765 | 04/20/1993 | Friddle, Jr. | | | |
| | BE | 5,261,873 | 11/16/1993 | Bremer et al. | | | |
| | BF | 5,378,042 | 01/03/1995 | Daneshvar | | | |
| | BG | 5,456,266 | 10/10/1995 | Brown | | | |
| | BH | 5,537,704 | 07/23/1996 | Dinkler | | | |
| | BI | 5,624,387 | 04/29/1997 | McGuiness | | | |
| | BJ | 5,674,186 | 10/07/1997 | Guigui et al. | | | |
| AW | BK | 5,697,895 | 12/16/1997 | Bremer | | | |

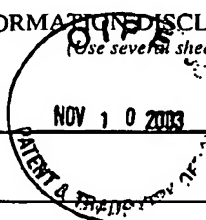
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|---|----|---|
| AW | BR | Bernardoni CO, Gene, "Part IV A Clinical Review of the Use of the Halo," http://www.ballert.com |
| AW | BS | Bernardoni CO, Gene, "Part V Major Available Halo Systems," http://www.ballert.com |
| AW | BT | Botte, Michael J. et al., "The Halo Skeletal Fixator (Principles of Application and Maintenance)," <u>Clinical Orthopaedics and Related Research</u> , No. 239 Feb. 1989. |

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| EXAMINER Manda Wicker | DATE CONSIDERED 12/10/04 |
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| AW | CA | 5,961,528 | 10/05/1999 | Birk et al. | | | |
| AW | CB | 5,984,836 | 11/16/1999 | Casali | | | |
| AW | CC | 6,179,846 | 01/30/2001 | McFadden | | | |
| | CD | | | | | | |
| | CE | | | | | | |
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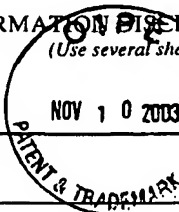
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
|---|----|---|
| AW | CR | Fleming, Braden C., et al., "Pin Loosening in a Halo-Vest Orthosis," SPINE Vol. 25, No. 11, pp 1325-1331, published in 2000 by Lippincott Williams & Wilkins, Inc. |
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| AW | CT | Voor, Micheal J. and Cyna Khalily, "Halo pin loosening: a biomechanical comparison of experimental and conventional designs," <u>Journal of Biomechanics</u> , 1998. |

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| EXAMINER Amanda Weker | DATE CONSIDERED 12/16/04 |
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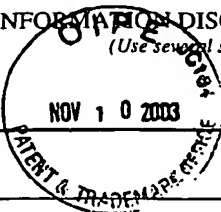
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| tw | DR | Nickel, V., et al., "The Halo: A Spinal Skeletal Traction Fixation Device," <i>Clinical Orthopaedics and Related Research</i> , No. 239, February 1989. | | | | | |
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| tw | DS | Fleming, Braden C., et al., "Pin force measurement in a holo-vest orthosis, <i>in vivo</i> ," <i>Journal of Biomechanics</i> , Vol. 31, 1998, 647-651. | | | | | |
| | | | | | | | |
| tw | DT | S. Liu, et al., "The Effect of Local Halo Pin Care Reagents on Cranial Radia Compressive Stress (When Tightening Halo Pins)," <i>Journal of Prosthetics and Orthotics</i> , Vol. 5, No. 3, 67-72, 1993. | | | | | |
| | | | | | | | |
| EXAMINER Manda Metes | | | | DATE CONSIDERED 12/10/04 | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

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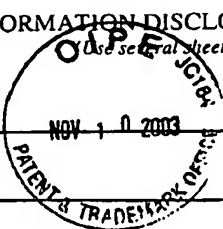
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | | |
|---|----|---|--|
| TW | ER | Botte, M., et al., "Application of the Halo Device for Immobilization of the Cervical Spine Utilizing an Increased Torque Pressure," <i>The Journal of Bone and Joint Surgery</i> , Vol. 69, No. 5, 1987. | |
| | | Letts, M., et al., "Mechanical Evaluation of Four-Versus Eight-Pin Halo Fixation," <i>Journal of Pediatric Orthopaedics</i> , Vol. 17, 121-124, 1997. | |
| TW | ES | Garfin, S., et al., "Structural Behavior of the Halo Orthosis Pin-Bone Interface: Biomechanical Evaluation of Standard and Newly Designed Stainless Steel Halo Fixation Pins," <i>Spine</i> , Vol. 11, No. 10, 1986 | |
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| EXAMINER <i>Amanda Wicker</i> | DATE CONSIDERED <i>12/10/04</i> |
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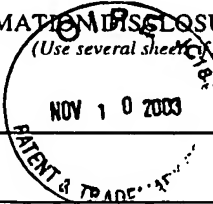
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| TW | FR | Vertullo, Christopher J., et al., "Pin-Site Complications of the Halo Thoracic Brace With Routine Pin Re- | | | | | |
| | | Tightening," <i>Spine</i> , Vol. 22, No. 21, pp. 2514-2516 | | | | | |
| TW | FS | Tsai, L. and Stamper, R., "A Parallel Manipulator with Only Translational Degrees of Freedom" | | | | | |
| | | <i>Proceedings of the 1996 ASME Design Engineering Technical and Computers in Engineering Conference</i> , MECH: 1152, 1996 | | | | | |
| TW | FT | Bullock, Stacy J. and Runciman, R. John, "Biomechanical evaluation of two halo pin design, with, and without, | | | | | |
| | | intact periosteum", <i>Journal of Biomechanics</i> , 2001, pp. 129-133. | | | | | |
| EXAMINER Manda Waker | | | | DATE CONSIDERED 12/16/03 | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

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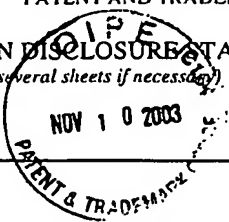
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| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | | |
|---|----|---|--|
| TW | GR | Triggs, Kevin J., MD, et al., "The Effect of Angled Insertion on Halo Pin Fixation," <u>Division of Orthopaedics and Rehabilitation, University of California</u> , 1988. | |
| | | | |
| TW | GS | Norton, Robert L., <u>Design of Machinery</u> , 2 nd Edition, McGraw-Hill, copyright 1999, ISBN: 0-07-048395-7, pp. 28-32. | |
| | | | |
| TW | GT | Tsai, Lung-Wen, <u>Mechanism Design: Enumeration of Kinematic Structures According to Function</u> , CRC Press, copyright 2000, ISBN: 0-8493-0901-8, pp. 66-70. | |
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| EXAMINER <i>Manda Mierke</i> | DATE CONSIDERED <i>12/16/04</i> |
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| | HQ | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| TW | HR | PMT Corp., Halo Systems, PMT Model 1233, http://www.pmtcorp.com/halo.htm . | | | | | |
| | | | | | | | |
| TW | HS | Tsai, Lung-Wen, <u>Robot Analysis – The Mechanics of Serial and Parallel Manipulators</u> , John Wiley and Sons | | | | | |
| | | (1999), pp. 9-15 | | | | | |
| TW | HT | Norton, Robert L., <u>Design of Machinery – An Introduction To The Synthesis and Analysis of Mechanisms and</u> | | | | | |
| | | Machines, McGraw-Hill (1999), Section 2.7 | | | | | |
| EXAMINER <i>Manda Meier</i> | | | | DATE CONSIDERED <i>12/10/04</i> | | | |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |